



CONFIDENTIAL PERSONAL & FINANCIAL PROFILE

This comprehensive, personal financial planning summary is designed to help you take inventory and assign realistic values to your personal assets and liabilities. It's the essential first step in organizing a sensible financial plan for your future. **To achieve the most complete and accurate profile possible, please bring ALL relevant statements (IRAs, investments, etc.) to your consultation.**

FAMILY INFORMATION

YOUR NAME		DOB	AGE	SPOUSE'S NAME		DOB	AGE
SSN				SPOUSE'S SSN			
HOME PHONE		MOBILE PHONE		SPOUSE'S MOBILE PHONE			
EMAIL				SPOUSE'S EMAIL			
MAILING ADDRESS							
CITY, STATE, ZIP CODE							
CHILDREN'S NAMES				AGE	STATE OF RESIDENCE		# OF GRANDCHILDREN

OCCUPATION

YOUR JOB TITLE				SPOUSE'S JOB TITLE			
EMPLOYER (LAST, IF RETIRED)				SPOUSE'S EMPLOYER (LAST, IF RETIRED)			
# OF YEARS	RETIREMENT DATE			# OF YEARS	RETIREMENT DATE		

CURRENT INCOME

JANUARY 1, _____ TO DECEMBER 31, _____

	YOU	SPOUSE
• WAGES FROM SALARY		
• SOCIAL SECURITY INCOME		
• PENSIONS		
• DIVIDENDS & INTEREST		
• CAPITAL GAINS & LOSSES		
• RENT, ANNUITIES, ETC.		
• OTHER		

TOTAL ANNUAL INCOME _____

FUTURE INCOME

	YOU	SPOUSE
• SOCIAL SECURITY AT 62		
• SOCIAL SECURITY AT FRA		
• SOCIAL SECURITY AT 70		
• PENSIONS		

TOTAL ANNUAL INCOME _____

ASSETS

TAX DEFERRED/RETIREMENT ACCOUNTS

• YOUR 401(K)	
• SPOUSE'S 401(K)	
• YOUR IRA	
• SPOUSE'S IRA	
TAXABLE/NON-QUALIFIED	
• ON HAND	
• CHECKING ACCOUNT	
• SAVINGS ACCOUNT	
• MONEY MARKET	
• CERTIFICATES OF DEPOSIT	

TAX-FREE

• YOUR ROTH IRA	
• SPOUSE'S ROTH IRA	

FIXED ASSETS

• HOME & PROPERTY	
• OTHER REAL ESTATE INVESTMENTS	
• AUTOMOBILES	
• OWNERSHIP INTEREST IN SMALL BUSINESS	
• PERSONAL PROPERTY	

TOTAL ASSETS _____

LIABILITIES

INSTALLMENT LOANS (BALANCE DUE)

• MORTGAGE LOANS	
• AUTOMOBILE 1	
• AUTOMOBILE 2	
• BANK LOAN	

INSTALLMENT LOANS (BALANCE DUE)

• CREDIT CARDS	
• EDUCATIONAL	
• OTHER	

TOTAL LIABILITIES _____

QUESTIONNAIRE

Please provide the total from your 1040 line 15 taxable income. _____

What monthly amount of income do you desire in today's dollars (*after tax*)? _____

What issues or concerns do you feel would be an obstacle in accomplishing your retirement objectives?

Any special areas of interest you would like to discuss? _____

What are your top two financial fears? _____

How do you envision your retirement (*assuming money is no object*)? _____
